

81,9(56,7< 2) \$/\$6.\$
&255(&7,9(\$&7,21 3/\$1
<(\$5 (1'(' -81(

8 6 'HSDUWPHQW RI (GXFDWLRQ

7KH 8QLYHUVLW\ RI \$ODVND UHVSFH WHXWQY N XDFVL MRQ VSKOD QR ORJR ZL

\$XGLW SHULRG -XO\ WR -XQH

7KH ILQGLQJV IURP WKH 6FKHGXOH RI)DQBLQWFDXQGHGEMVREZQFK
QXPEHUG FRQVLVWHQWO\ ZLWK WKH QXPEHUV DVVLJQH G LQ WKH

),1',1*6²),1\$1&,\$/ 67\$7(0(17 \$8',7

7KHUH DUH QR ILQDQFLDO VWDWHPHQW ILQGLQJV LQ WKH FXUUHQ

),1',1*6²)('5\$/ \$:\$5' 352*5\$06 \$8',76

8 6 'HSDUWPHQW RI (GXFDWLRQ

6WXGHQW)LQDQFLDO \$LG &OXVWHU ± &)'\$ 1R 6)\$

5HFRPPHQGDWLRQ :H UHFRPPHQG WKDWIWKHQEHDOVLLGD
WKH 8QLYHUVLW\ RI \$ODVND)DLUEDQWXZROW WR DMXKHFJK
UHSRUWHG WR 1DWLRQDO 6WXGHQW /R'DQZDWWDLQ\ WKKHPUIHR
WLPHIUDPH

([SODQDWLRQ RI GLVDJUHHPHQW ZLWKLUDHGLHQWLQGWQJWKH
ILQGLQJ

\$FWLRQ WDNHQ LQ UHVSQRVH WR ILQGLYHU 7LW\ IRQ FLOGHQM
KDV EHHQ UHSRUWHG WR 5HJLVWUDUHTZ RIIIFRIRW LQYDQX
7KH 5HJLVWUDU KDV DVVLJQH G WKH VVEXGHQW WRV DWKXV
0DQDJHU ZKR KDV QRZ EHHQ WUDLQH G RGRUXQQZQJFKZH
GLVFUHSQFLHV EHWZHHQ WKH 8QLYHUVLW\GHVQWXGHRV
5HJLVWUDU↑V 2IILFH KDV HVWDEOLVKH G ZLQGHQMSBHVLLQJ
VWDWXV FKDQJHV DUH UHSRUWHG DFFXUDWHO\ LQ D WLP

1DPH V RI WKH FRQWDFW SHUVRQ VLRGVSHQQQEOHHIURLQJ
(DUQHVV

30DQQHG FRPSOHWLRQ GDWH IRU FRUHFWLYH DFWLRQ S

8 6 'HSDUWPHQW RI (GXFDWLRQ

6WXGHQW)LQDQFLDO \$LG &OXVWHU ± &)'\$ 1R 6)\$

5HFRPPHQGDWLRQ :H UHFRPPHQG WKDMF MKRIV8QXGHQWLM
\$ODVND \$QFKRUDJH DQG 8QLYHUVLW\ RIUSHOGVWEDXGRXWFKH
UHSRUWHG WR &RPPRQ 2ULJLQDWLRQ DQGD LW ERXWKHPHQW
GLVEXUVHPPHQW GDWH

([SODQDWLRQ RI GLVDJUHHPHQW ZLWKLUDHGLHQWLQGWQJWKH
ILQGLQJ

81,9(56,7< 2) \$/\$6.\$
&255(&7,9(\$&7,21 3/\$1
<(\$5 (1'(' -81(

\$FWLRQ WDNHQ LQ UHVSQRVH WR ILBQQGLYUJVLKH BQ \$LOGHVQND
DQG 8QLYHUVLW\ RI \$ODVND 6RXWKHDVW XZHULHQJG XE WRF
UHSRUWLQJ GXULQJ DQ LVRODWHG WHPK DUDPHVWIDE QLOQB
WLPHIUDPHV ZLWKLQ WKH VHSDUDWH RIILFHV

8QLYHUVLW\ RI \$ODVNDQD\$QVFBEDJLWKHGRVZLDBQZHUHQW RU
DQG GLVEXUVHPPHQW UHSRUWLQJ VFKHGLXOEK UWRH &RQWRZHE
DGMXVWHG GXULQJ SHDN SHULRGV DQGHWS DLQFHGXHF KDGVK
SD\PHQW GHGOLQHV HQG RI VHPHVWHU DQG HQG RI DZD

8QLYHUVLW\ RI \$ODVND 6RXWKHDVW HORWDE DLQKHGDDW CRH
DQG GLVEXUVHPPHQW UHSRUWLQJ VFKHGLXOEK UWRH &RQWRZHE

1DPH V RI WKH FRQWDFW SHUVRQ VLBBVSRQXQDO*HURWF
&RRN

3ODQQHG FRPSOHWLRQ GDWH IRU FRUUHFWLYH DFWLRQ S

,I WKH 8 6 'HSDUWPHQW RI (GXFDWLRLQH KSDVDQXH SUIHQVH UFBDDU GLC
'HDQQD 'LHULQJHU RI 8QLYHUVLW\ RI \$ODVND)DLUEDQNV DW
0LNH (DUQHVV RI 8QLYHUVLW\ RI \$ODVND)DLUEDQNV DW
6KDXQD *UDQW RI 8QLYHUVLW\ RI \$ODVND \$QFKRUDJH DW
-DQHOOH &RRN RI 8QLYHUVLW\ RI 6RXWKHDVW DW